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Nanotechnology Based Approaches to Enhance Therapeutic Efficacy of Chloroquine and Hydroxychloroquine– A Review

Vitória Maryana da Silva Borrero ^a , Luiza Regina Voigt ^a , Luciana Oliveira de Fariña ^aand Isabela Angeli de Lima a*

^aUniversidade Estadual do Oeste do Paraná (Unioeste). Rua Universitária, 1619, Universitário, Cascavel - PR, 85819-110, Brazil.

Authors' contributions

This work was carried out in collaboration among all authors. Author VMdaSB conceived the study, conducted exhaustive bibliographic research, selected articles, and drafted the initial manuscript. Author LRV acted as the second reviewer, assisting in article selection. Author LOdeF served as a cosupervisor, providing critical feedback. Author IAdeL was the supervisor, managing the entire research process and reviewing the manuscript. All authors read and approved the final manuscript.

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Review Article

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ABSTRACT

Background: Chloroquine (CQ) and hydroxychloroquine (HCQ) are widely used in the treatment of endemic diseases such as malaria and autoimmune conditions like rheumatoid arthritis. Their anti-apoptotic activity, cost-effectiveness, and convenient oral administration make them effective treatments, either as standalone therapies or in combination with other drugs. However, their use is limited by a narrow therapeutic window, which can lead to potential complications due to organ accumulation and non-specific actions in healthy cells. This risk necessitates exploration into safer clinical applications using nanotechnology.

^{}Corresponding author: E-mail: angeli.isabela@gmail.com;*

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Aim: This scoping review aims to comprehensively assess and analyze the application of nanotechnology to enhance the therapeutic efficacy and safety of CQ and HCQ.

Methods: A meticulous search for original studies on nanoparticle formulations of "chloroquine" and "hydroxychloroquine" was conducted. Two reviewers screened titles and abstracts, followed by a detailed assessment of the full articles. Inclusion criteria encompassed original studies in English, Spanish, or Portuguese, focusing on in vitro, in vivo, and ex vivo evaluations.

Results: After an exhaustive search across selected databases, 30 articles were meticulously chosen for comprehensive evaluation. The most common properties found for nanoparticles included sizes ranging from 100 to 300 nm, a zeta potential lower than -10, with dendritic derivatives being the most encountered types. Materials used in both production and coating varied, influencing the release and specificity of the nanocarrier. Among therapeutic activities, antimalarial and antitumor activities were the most studied.

Conclusion: Studies have demonstrated distinct characteristics acquired by these drugs after encapsulation in various nanoparticles, including reduced toxicity, increased specificity, prolonged systemic circulation, absence of toxic peaks, and potential for different therapeutic approaches.

Keywords: Scope review; nanoparticulate systems; modified drug release.

1. INTRODUCTION

Nanoparticles (NPs) are defined by their nanometric dimensions, ranging between 10 and 1000 nm. Their composition can vary significantly, with examples including polymers, lipids, and peptides. Regarding their structural components, certain coatings, when applied, allow for extended circulation of the nanoparticle (NP) in the bloodstream, as well as the encapsulated drug. These coatings can also act as vectors, enhancing the specificity of the therapeutic effect; an example is polyethylene glycol (PEG) [1].

Nanotechnology, when applied to drug treatment, aims for greater efficacy and safety. It addresses various challenges, including the diffusion of insoluble drugs, maintaining the stability of unstable or low-bioavailability compounds, enhancing the specificity of drug action, and prolonging circulation time [2].

In addition to improving drug delivery, some nanoparticles exhibit unique sensor properties due to their size and surface characteristics. These highly sensitive sensors can monitor the controlled release of encapsulated drugs and detect changes in the biological environment, such as variations in pH, ion concentrations, or specific biomarkers [3-7]. Leveraging these sensors opens up opportunities for personalized drug therapy, allowing for precise adjustments based on individual patient needs. Recent studies highlight the real-time monitoring ability of these sensor-enabled nanoparticles, significantly contributing to a more controlled therapeutic approach and providing valuable

insights into complex interactions with biological systems [8-13].

Oral administration of free drugs is the most common method of pharmacotherapy; however, several factors can interfere with the proportion and speed of the event from administration to absorption and reaching its active site. For example, low solubility makes a drug more difficult to absorb; consequently, the concentration of the administered drug must be higher [14].

The pharmacodynamics of different drugs are directly related to the dosage of the drug and, therefore, to its effectiveness. With this in mind, there are drugs with highly responsive pharmacodynamics; these are defined as "small therapeutic window drugs," that is, small variations in systematic concentration can lead to significant changes in the drug's performance [15]. These changes can induce side effects and toxicity traits; and, at the same time, these drugs can become ineffective when in concentrations that are considered safe. Therefore, one of the objectives of nanoformulations is to induce a prolonged and selective release of the drug, allowing for the administration of larger and more effective doses, in a sustained and, therefore, safer way [16].

Chloroquine (CQ) and hydroxychloroquine (HCQ) are antimalarials belonging to the class of 4-aminoquinolines; both are formed by a flat aromatic ring and have a slightly basic character. The complexity of their pharmacokinetics and pharmacodynamics is the result of their high distribution capacity and long half-life; factors that classify them as drugs with a low therapeutic window. It is understood that the renal excretion capacity has a direct effect on increasing or decreasing the bioavailability of drugs in the body; however, their tendency to accumulate makes treatment risky [17].

Among the side effects caused by the free forms of these two drugs, the most recurrent are gastrointestinal disorders, such as abdominal discomfort, nausea, vomiting, and diarrhea. Myopathies and cardiac toxicities that can lead to heart rhythm mismatches are also adverse effects. Additionally, considering their tendency to accumulate, they can induce kidney or liver damage, whether due to insufficiency in these systems or caused by drug interaction. However, retinopathies are the most worrisome changes as both drugs can damage retinal epithelial pigment through their lysosomal disruption mechanism [17].

Therefore, drug encapsulation aims to reduce the problems caused by the small therapeutic window, making it possible to increase doses and, therefore, guarantee the efficiency of the treatment while releasing the encapsulated drug in a controlled, prolonged, and specific way, thus avoiding the worrying accumulation and considerably reducing the adverse effects resulting from it [18].

The mechanisms of action of CQ and/or HCQ involve immunological inhibition through the antigenic presentation and signaling pathway; interference with lysosomal and autophagic activity [17]. These mechanisms can act against different pathologies, directly or symbiotically, favoring the effectiveness of pharmacotherapy. Among the objectives of the articles found in the literature, there were analyses of antimalarial activities [19-20]; antitumor activities [21]; the improvement of thermodynamics therapies [22]; sonodynamics [23]; and antiviral activities [24].

This scoping review aims to compile consistently, clearly, and objectively the numerous bibliographies that describe the formulation, application, and effectiveness of CQ and HCQ in an encapsulated way and on a nanometer scale. The effectiveness of these drugs is already widespread, in the same way that their toxicity and risks are well elucidated, and since they are widely implemented drugs, nanoformulations allow a new clinical approach while maintaining responsive quality but with fewer adverse effects,

greater safety, and fewer therapeutic impediments. Therefore, the main objective of this work is to allow future studies to have a solid base of information about NPs containing these drugs, their most varied clinical actions, the means, and modes of encapsulation of the compounds, the possibility of modulation, patterns of release, and the outcomes of the new pharmaceutical form.

2. METHODOLOGY

2.1 Strategic Data Search

A search strategy was implemented in April 2020 and included the descriptors "chloroquine," "hydroxychloroquine," "nanoparticles," "nanocarriers," "nanomedicine," "nanosphere," "nanostructure," in association with the Boolean operators "AND" and "OR." Filters for "research article," "articles," or "article" were also applied when these options were available in the databases. As for the year of publication, no limitation was imposed, given the low number of articles published more than 15 years ago.

2.2 Data Selection

The selection of articles was performed by two independent reviewers. In case of disagreements, an external evaluator was consulted. Initially, the articles were selected by titles and abstracts, followed by a thorough reading of the full articles. The inclusion criteria encompassed complete and original articles that conducted in vitro, in vivo, and/or ex vivo studies of NP formulations containing CQ and/or HCQ, and that compared the test results (in vitro and/or in vivo and/or ex vivo) with the same drugs in their free form. Articles written in Portuguese, English, or Spanish were considered. Additionally, articles that did not qualify as "original articles," book chapters, theses, master's dissertations, monographs, and abstracts, as well as those that were duplicated among the databases, were excluded. Articles whose primary objective was to study NPs containing CQ and/or HCQ associated with other drugs or active substances were also not considered.

2.3 Elaboration Strategy

For the development of the scoping review, the PICOS strategy was used (structured research in the acronym format; Population, Intervention, Control/Comparison, and Outcomes). This followed the recommendations of the PRISMA Extension for Scoping Reviews [25], in which the population was tested in vitro, in vivo, and/or ex vivo in animals or cells; the intervention was NP formulations for exclusive release of CQ and/or HCQ; the control was CQ and/or HCQ in the free, non-encapsulated form; and the outcome was to evaluate the effectiveness of the administration of NPs containing CQ and/or HCQ.

2.4 Data Extraction

Data extraction was performed using specific tables, prepared with relevant information about the population, intervention, control, and outcome (PICOS), in addition to other details pertinent to the objective and question of the scoping review, such as particle diameter, method of preparation, zeta potential, antimalarial and/or antitumor activity, encapsulation efficiency, cellular toxicity, and the type of study performed (in vivo, in vitro, and/or ex vivo).

3. RESULTS AND DISCUSSION

After conducting an advanced search in the selected databases, 1267 articles were initially identified. However, after all selection stages, only 30 articles were ultimately chosen for evaluation and discussion in this scoping review. The flowchart detailing the entire study selection process can be observed in Fig. 1.

3.1 Diameter of Nanoparticles

According to Mohanraj *et al.*, the diameter of NPs and their morphology are extremely important factors in nanotechnology, as they determine the ability of biodistribution, selectivity, the level of toxicity, influence the percentage of drug encapsulated, and subsequently released. They also determine the capacity of capturing encapsulated substances by the tissues [1]. As described in Table 1 and Fig. 2, it was observed that the diameter of the NPs included in the selected articles was predominantly between 100 and 300 nm. This relatively small size is beneficial for effective cell uptake, as well as greater mobility of the drug encapsulated by the different systems of the body, and it also allows the internalization of drugs through the bloodbrain barrier [26].

Among the functional variations caused by the size of NPs, Mohanraj *et al*. further speculate that the larger the particles, the larger their drug encapsulation chamber [1]. This greater distance between the internal content of the NP and its membrane leads to a slower and more sustained release. On the other hand, the smaller the diameter of the NP, the greater its interaction with the membrane and, therefore, the faster its release into the medium. However, some factors must be considered, such as the target tissue and the caliber of the capillaries that surround it, as well as the predisposition of small-diameter NPs to aggregate [1].

Fig. 1. Flowchart of the selection of articles related to the research topic *Source: The author*

Fig. 2. Diameter of NPs *Source: the author*

3.2 Types of Nanoparticles

It was analyzed that each NP formulation gives a characteristic diameter to the carrier, in the same way that the target cell and/or tissue must be compatible with the choice. As can be seen in Table 1 and Fig. 3, among the thirty articles selected: four were liposomes, which are spherical vesicles composed of one or more phospholipid bilayers. Their use is advantageous since they are stable carriers with a long half-life and, when coated with hydrophilic compounds or targeting molecules, they manage to escape phagocytosis [18].

In five articles, polymeric NPs were produced, which are composed of amphiphilic polymers that precipitate with the encapsulated drugs, forming a hydrophobic cavity and a hydrophilic outer membrane. They are generally used to improve the solubility of poorly soluble drugs and facilitate their dispersion in tumors. Their low critical micellar concentration leads to even greater stability than other micellar surfactants [18].

In another six articles, dendritic derivatives were developed, which are composed of macromolecular polymers and span several generations, favoring drug encapsulation and transport. The variability in their morphology, size, nucleus polarity, and terminal groups allows a better adaptation to the compound that is desired to be encapsulated [18].

Four articles used solid lipid NPs, which have a lipid composition but differ from liposomes in the

absence of a nucleus. These are generally implemented to increase the solubility of hydrophobic drugs in oral administration, as they have high biocompatibility and biodegradability [18].

Metal NPs were studied in three other bibliographies. These have been implemented for the transit of drugs that have functional groups that induce their chelation with the metal of the NP, an example given by Stevens *et al*. was the link between compounds with a thiol group carried by gold particles, which exchanged their Au-thiol pairing for the interaction with intracellular glutathione and thus released the drug [18].

Only one article developed graphene oxide
nanoconiugates. which impregnate other nanoconjugates, which impregnate carriers, thereby favoring their endosomal/lysosomal uptake and thus making the drug's action more cytotoxic on target cells. Likewise, its conductivity enhances the pharmacological effectiveness of photothermal and photodynamic therapies [21].

Two articles described the performance and production of immunoliposomes, which are liposomes functionalized with monoclonal antibodies or antibody fragments. In addition to the innate ability of liposomes to carry and release drugs in a prolonged manner, the presence of the antibody confers greater specificity to the drug site of action, directing it to cells with overexpression of the antigen corresponding to the antibody used [51].

Table 1. Composition, preparation, diameter, zeta potential, encapsulation efficiency and dispersion index of the NPs studied in the selected articles

Mesoporous silica NPs were evaluated in four articles, which describe that their colloidal metal oxide composition provides a porous and siliceous surface, allowing for great variability in size, morphology, and loading capacity. The presence of pores confers the greatest advantage, which is the property of adsorption and effective transport of a wide range of biomolecules and therapeutic agents, ensuring a controlled release [52].

Finally, a single article produced photothermal NPs, which can be composed of different molecules and guarantee high thermal and photodynamic resistance, thus favoring photodependent therapies. In the selected article, the composition was polydopamine, which is the oxidized and self-polymerized form of the dopamine molecule. The use of PDA was initially described for the surface modification of NPs, providing them with greater adhesion and enabling the formulation of nanometric films with low toxicity and high chemical and thermal stability. However, new bibliographies confirm that the coating still confers solubility in water and isoelectricity at pH 4.5, favoring the penetration of drugs in mucous membranes at biological pH [53].

3.3 Zeta Potential of Nanoparticles

Honary *et al*. define the zeta potential as the average electrostatic potential between the charges that surround an NP in colloidal dispersion and the charges of the liquid medium of the dispersion. They describe that this property significantly interferes with the

pharmacokinetics of NPs. The charge influences the opsonization and phagocytosis capacity of NPs, allowing for modulation and targeting, and ensuring that they are effective before being degraded [54].

Therefore, as can be seen in Table 1 and Fig. 4, in five articles, zeta potentials with values lower than -10 mV were obtained; values between -10 mV and +10 mV were found in four articles, however, only two articles obtained NPs with surface charges above +10 mV.

In another review work, Honary *et al*. addressed the effects of zeta potential on the pharmacological properties of nanocarriers. The controlled release would be modulated by the interaction of the encapsulated drug with the material that composes the nanocarrier, so the zeta potential would allow an interaction of greater or lesser scale, and this would influence the speed of drug release in the biological environment. In addition, it would also contribute to drug loading efficiency, with the carrier's surface zeta potential and the type of binding it establishes with the drug being the most relevant factors for this parameter. This said interaction would also determine if the drug would stay inside the NP or if it would be adsorbed to it [54].

Lowry *et al.* explain that values of ± 30 mV are physically stable, as they prevent the aggregation of NPs through electrostatic repulsion forces [55]. Similarly, the study by Doostmohammadi *et al*. reinforces that, for particles of small diameter, high values of zeta potential (negative or positive) reduce the risk of aggregation, whereas lower values have a greater tendency to aggregate [56].

From another perspective, Zhang *et al*. describe that NPs with negative zeta potential tend to be endocytosed by normal breast epithelial cells MCF10A and that this process leads to an increase in the surface charge (also negative) of these cells, reducing their values in modulus; in comparison, cancer cells like MCF7 tend to have their zeta potential reduced as they adsorb the negatively charged NPs, making them even more negative [57]. This information indicates that the zeta potential induces a specific functionality with normal cells and cancer cells and can be implemented for characterization purposes.

3.4 Preparation of NPs

As previously mentioned, nanoformulations can take different forms, and for this to be possible, there are various methods of preparation. Ealias *et al*. describe the synthesis methods based on their classification: constructive methods, where atoms are transformed into clusters and these into NPs (for example, sol-gel reaction and biosynthesis), as opposed to destructive methods, where a bulky material is degraded to a nanometer scale through milling (most common), crackling, nanolithography, and thermal decomposition [58].

As can be seen in Table 1, the following methods were used: sol-gel reaction [29]; oil/water emulsion or solvent diffusion method [30,27]; sonication method [28], oxidation and auto-

polymerization method [22]; co-extrusion method [23]; self-conditioning method [31]; film dispersion method [32]; Usman polyol method [33]; Turkevich's method [34]; homogenization and lyophilization method [35]; simple one-pot method [36]; alternating protection and unprotection method [37]; modified Hummers method [21]; Stober's method [38]; reverse phase evaporation method [40]; conjugation lipid [41]; microemulsification [44]; dropwise addition [46,50,49]; ion reduction [50]; simple and double emulsification method with solvent evaporation [24,19]; and dialysis [45].

Among the articles, the most recurrent methods were hydration of the lipid film [39,48,20], a process in which surfactants and cholesterol are dissolved in an organic solvent, which is then evaporated at low pressure and a buffer solution is added – the result is a hollow ''shell'' composed of a thin lipid layer [59].

Also, the ionotropic gelling method [42,47,43], where biodegradable hydrophilic polymers such as chitosan, which have a positive surface charge, are used to interact with a negatively charged polymer and form nanometer-scale coacervates [60].

3.5 Drug Encapsulation Efficiency

Analyzing Table 1, it is observed that only sixteen of the thirty articles presented the value of encapsulation efficiency, among these, eleven had an efficiency above 70% [21,44,35,28,41,50,30, 39,27,19,33].

Fig. 4.NPs zeta potential *Source: the author*

The highest encapsulation efficiency obtained was described by Usman and Akhyar Farrukh (2018), which reached 99% in the encapsulation of CQ phosphate in iron polymeric NPs [33]. It is deduced that the interaction of polyethylene glycol, which is a highly hydrophilic polymer [61], with CQ phosphate, which is a weak base and also water-soluble [62], would be responsible for the high capacity of the carrier to encapsulate the drug.

Regarding the five remaining articles, Chen *et al*. obtained the lowest encapsulation efficiency (~13.5%) when using mesoporous silica NPs incorporated in bismuth [29] – a carrier that establishes a weak interaction with the encapsulated drug, which adsorbs in its pores and, therefore, results in low encapsulation efficiency [63]. In the second study, the work by Bhadra *et al*. appears, obtaining 27.5% ± 3% of encapsulation efficiency with peptide dendrimers [45]. The other carriers obtained efficiency between 40% and 70% and were developed by Feng *et al*. - hollow mesoporous titanium dioxide NPs [23]; Agrawal *et al*. - peptide dendrimers (the formulation encapsulated with D-lactose showed an increase in efficiency, 64 to 78%, compared to the non-encapsulated form - 41 to 46%) [37] and finally Lima *et al*. who obtained 64.10% efficiency using polymeric NPs [24].

3.6 Composition of the Nanoparticle

As can be seen in Table 1, the composition has a close relationship with the type of NP synthesized and the method chosen for this. When dendritic derivatives were synthesized, they were based on: 2,2-bis(hydroxymethyl) propionic acid (bis-MPA) and Pluronic® polymers; a combination of poly-L-lysine, polyethylene glycol (PEG-1000), and di-tertiary butyl pyrocarbonate (di-BOC); PEG-lysine; polyamidoamine (PAA) polycation polymer; chitosan and tripolyphosphate; and finally,
triphenylphosphonium cation (TPP+) and triphenylphosphonium cation (TPP+) and polyethyleneimine (PEI). It was observed that the most recurrent composition was polyethylene glycol and lysine, which appears in two of the six articles that synthesized the dendritic derivatives [37,45]. The synthesis of dendritic micelles with polyethylene glycol and poly-L-lysine was used by Bhadra *et al*. in yet another of their works with the objective of developing an amphiphilic carrier that increases the solubility of insoluble drugs, also having a greater interaction and favoring encapsulation, as well as increasing generations of the dendritic derivative will reduce drug escape [63].

As for solid lipid NPs, the composition varied between: compritol; compritol, span 80, and tween 80 surfactant; a conjugate of poly(polyvinyl alcohol) (PVA), stearic acid, chitosan, d-lactose monohydrate, sulfanoyl; capmul MCM (liquid lipid), and GMS (solid lipid). Compritol was the most used, appearing in two of the four articles on solid lipid NPs [35,28]. As found by Alex *et al*., compritol is an extremely biocompatible, biodegradable, and non-toxic compound which, when implemented in NPs, increases the lymphatic uptake and blood circulation of the drug. Likewise, it could enter the central nervous system, becoming a carrier of high clinical value [64].

The only article that includes photothermal NPs in this review was composed of dopamine hydrochloride and monomethoxy-polyethylene glycol [22]. Zhu *et al*. describe that dopamine is an excellent photothermal agent with high infrared absorption and high photothermal energy conversion efficiency. In the same way, its conjugation with polyethylene glycol derivatives increases its circulation time in the body [65].

The mesoporous NPs were composed of bismuth, silica (Bi@SiO2), and poly(vinylpyrrolidone) crystals; mesoporous titanium dioxide (HMTNPs); poly(vinyl pyrrolidone) (PVP), hydrochloric acid (HCl), potassium ferricyanide, and 1-tetradecanol; and polycaprolactone (PCL) with silicon dioxide (SiO2). It is observed that the most used were poly(vinyl-pyrrolidone) and silicon dioxide (SiO2) - in two articles each [29,31,38]. Wang *et al*.explains that the use of silicon dioxide is common in mesoporous NPs because it acts as a highly stable adsorbent, is financially satisfactory, and provides the ability to control the diameter and distribution of pores on the surface of the carrier, thus promoting better dispersion in water [66].

Polymeric NPs were developed with dextran; iron and polyethylene glycol precursors; poly(lactic acid) (PLA) and chitosan-tripolyphosphate (CS-TPP), which had the highest occurrence (in two of the five articles) [42-43]. In the study by Bangun *et al*., the properties of chitosan, such as biocompatibility, low immunogenicity, and highly positive charge that favor adhesion to the mucosa, are well elucidated. Its interaction with tripolyphosphate, which is a crosslinking agent, fortifies and makes its degradation into smaller NPs more difficult [67].

The liposomes were composed of: Cholesterol (Chl) and phosphatidylcholine (PC); neutrally charged (DOPC: cholesterol, 80:20) or saturated (DSPC: cholesterol, 90:10) unsaturated phospholipids; lipid mixture of DSPC, DPPG, and chol (10:1:10); and likewise, another lipid interaction (1,2-Dioleoyl-sn-glycero-3 phosphocholine, 1,2-dipalmitoyl-80 galloyl glycerol, amine-N-[4-(p-maleimidophenyl) butyramide). It is observed that the presence of cholesterol is a common feature in three of the four articles included: Crommelin *et al*. [40], Liu *et al*. [32], and Moles *et al*. [39]. Nie *et al*. describes the role of cholesterol as a structuring agent of the liposomal membrane, and its charge and the presence or absence of polyethylene glycol coating are directly related to the reduction of fluidity and selective permeability of the membrane [68].

In the three articles that describe the synthesis of metallic nanoparticles, imidazolate zeolitic structure (ZIF-8); gold, polyethylene glycol (PEG), and a union of gold (III) ions, sodium borohydride, 11-mercaptoundecanoic acid were used. Gold-compounded NPs proved to be popular not only among the articles in this selection [50,34]. Its wide use can be explained by its high affinity with surface ligands, such as proteins, antibodies, and thiol functional groups, which can be implemented as a target selectivity strategy [69].

Only one graphene oxide nanoconjugate was included in this review - Arya *et al*. - and its composition is graphite powder [21]. Nanomaterials made of carbon have great prominence for their unique property as an adsorbent for liquid or gaseous phases – being widely used as a removal agent for environmental pollutants [70].

The two remaining articles, by Urbán *et al*. [48,20], describe the preparation of
immunoliposomes, of lipid composition immunoliposomes, of lipid composition
(phosphatidylcholine, PC; (phosphatidylcholine, phosphatidylethanolamine, PE; cholesterol; 1,2 dioleoyl-sn-glycero-3-phosphatidylcholine, DOPC); Cholesterol (80:20); 1,2-dipalmitoyl-snglycero-3-phosphoethanolamine-N; [4-(pmaleimidophenyl) butyramide]. The recurrence of cholesterol is again observed, which can be explained by the fact that they are also liposomes, however, functionalized with monoclonal antibodies or fragments thereof [51].

3.7 Coating

Nanocarriers began to be implemented in pharmacotherapeutics to increase drug solubility, reduce their toxicity, improve their selectivity, and increase their circulation time in the bloodstream. However, as they are foreign bodies to the organism, their presence could generate immunogenicity and induce early uptake of carriers by phagocytes. In this context, there are several studies on ways to cover up the NPs so that they go unnoticed by the immune system and thus reach their site of action [71].

Among the thirty articles in this review described in Table 1, only eight presented coating. Three of them are immunoliposomes, therefore having an antibody coating or part of them. Moles *et al*. used anti-GPA antibodies, which act on glycoprotein A present in red cells infected or not by *P. falciparum* [39] – the drug acts on the microorganism or performs prophylactic action [46]. Urbán *et al*. functionalized liposomes with semi-antibodies containing a free thiol group [20]. In another article, Urbán *et al*. used the monoclonal antibody BM1234 [48], which acts against the histidine-rich membrane protein expressed by *P. falciparum* [72].

Bhadra *et al*. coated a part of their peptide dendrimers with chondroitin sulfate A (CSA) [45], which is widely used because it is a glycosaminoglycan sulfate that diffuses widely in the extracellular membrane of animal tissues and manages to reach the nervous tissue, constituting a neuronal system that surrounds the sum of the neurons [73].

Muga *et al*. functionalized their solid lipid NPs with heparin [19], an anticoagulant that minimizes thrombus formation and improves carrier hemocompatibility [74].

Arya *et al*. made the coating with graphene oxide nanosheets, which, as already mentioned, have an excellent adsorption capacity [21].

Agrawal *et al*. used D-galactose to coat their peptide dendrimers [37]; it is understood that the presence of carbohydrates on the carrier surface induces endocytosis via lectin receptors, which are highly expressed at strategic points, such as in alveolar, peritoneal, and brain macrophages [75].

Finally, Shi *et al*. coated the metallic NPs with methoxy poly(ethylene glycol)-folate (FA-PEG). PEG is popularly implemented because it reduces the adsorption capacity of proteins on the surface of the NP, thus minimizing their phagocytosis [36]. Likewise, folate receptors are used as targeting molecules, since overexpression occurs in cancer cells such as epithelial carcinoma [76].

3.8 Polydispersity Index

When NPs are synthesized in a colloidal medium, they are expected to assume similar and specific diameters, morphologies, and chemical properties, since a large-scale variation can interfere with the final objective of the formulation. In this way, the polydispersity index quantifies the level of this variation in the diameter of the NPs within the same colloidal solution, which can be altered by the formation of aggregates, adsorption of proteins on the surface of the NP (corona protein), or due to the mode of synthesis used [77].

Some methods such as scanning/transmission electron microscopy (SEM/TEM), dynamic light scattering (DLS), and X-ray diffraction (XRD) can be used for characterizing the size of NPs and calculating the polydispersity index [78].

Among the articles in this review, only two presented the polydispersity index, Bhalekar *et al*. and Muga *et al*. [35,19]; which were, respectively, 0.125 ± 0.03 and 0.72 ± 0.053 (as shown in Table 1). According to Shazly *et al*., indices smaller than 0.3 are considered ideal and represent a low variation in size between the NPs in the solution - values below 0.1 would be considered monodispersions; that is, the lower the polydispersity index, the greater the homogeneity of diameters and more effective the transport of compounds and thermo/luminous dispersion in phototherapies [79].

3.9 Cytotoxicity in Tumor Cells

Fig. 5. presents the different properties of NPs containing CQ and/or HCQ in the thirty articles included in the selection. Table 2 discusses the eight articles that explored the implementation of these drugs in the treatment of tumors, while Table 3 focuses on the antimalarial effect, which was the most frequently studied aspect, observed in thirteen different articles.

Regarding the antitumor activity of NPs, it is observed that six out of the nine articles used the MTT assay [80] – a tetrazolium-based calorimetric assay that analyzes cellular metabolic activity. The remaining two articles used the MTS assay, which is also based on a tetrazole compound and serves as a cell viability marker [81], and the Trypan blue assay.

In one study by Zhou *et al*., they employed a fibroblast cell line (NIH3T3) and HeLa cells expressing GFP-LC3 (GFP-LC3/ HeLa). The cells exposed to photothermal NPs made of polidopamide and loaded with CQ diphosphate maintained a cell viability of approximately 80%. However, they showed greater photothermal sensitivity upon exposure to these NPs. In vitro pharmacokinetic tests demonstrated pHdependent release, which increased in acidic mediums [22].

Fig. 5. Therapeutic activities of the NPs tested *Source: the author*

Table 2. Tumor cytotoxicity

CQ = Chloroquine; HCQ = hydroxichloroquine; NPs = Nanoparticles; NP = Nanoparticle

In the study by Feng *et al*., the MCF-7 breast adenocarcinoma cell line was used, and treatment with hollow NPs of mesoporous titanium dioxide loaded with HCQ sulfate resulted in a cell viability of $27.3 \pm 1.8\%$. This demonstrated potentiation of sonodynamic therapy. In vitro pharmacokinetic tests showed a slow and sustained release of the drug [23].

Ma *et al*. also worked with the cervical cancer cell line HeLa and observed a cell viability of 33.20% after treatment with mesoporous NPs containing CQ. The treatment led to the inhibition of tumor-directed autophagy, resulting in increased cell death efficacy by photothermal therapy. In vitro pharmacokinetic assays demonstrated good and controllable thermoinduced release [31].

Shi *et al*. analyzed the effects on HeLa cells and HEK293 embryonic kidney cells. After treatment with metallic NPs containing CQ diphosphate, a viability of 49.4% and 75.8% was observed with the use of NPs FA-PEG/CQ@ZIF-8 and CQ@ZIF-8, respectively. The FA-PEG/CQ@ZIF-8 NPs increased CQ cytotoxicity and improved delivery to HeLa cells where RFs are overexpressed. In vitro pharmacokinetic tests demonstrated pH-dependent release (increased in an acidic medium) [36].

Table 3. Antimalarial cytotoxicity

NI = Not informed; CQ = Chloroquine; HCQ = hydroxichloroquine; NPs = Nanoparticles; NP = Nanoparticle

Arya *et al*. conducted assays on basal epithelial cells of alveolar adenocarcinoma (A549) and cells derived from normal bronchial epithelium (BEAS-2B). Treatment with CQ-adsorbed graphene oxide nanoconjugate led to cell viability ranging from 80% to 45% in cancer cells. The nanoconjugate demonstrated excellent biocompatibility with normal cell lines. In vitro pharmacokinetic tests showed a pH-dependent release (about 31% of drug released in 72 h in a buffer solution) [21].

Stagni *et al*. analyzed the effects of CQ-loaded trienylphosphonium cation-associated/ functionalized polymer dendrimer on MCF-7, MDA-MB-231, and SK-BR-3 breast cancer cell lines. Cell viability was different for each strain, with viability being >25% (MCF-7); >50% (MDA-MB-231) and >55% (SK-BR-3). The carrier alone demonstrated some cytotoxicity against cancer cells, and the addition of CQ improved mitochondriotropic action, making it more selective to breast cancer strains [49].

Joshi *et al*. used the MCF-7 cell line and performed the intervention with gold NPs containing CQ. A cell viability below 80% was observed, indicating an increase in the cytotoxicity of GNP-CQ in relation to breast cancer cells [50].

The last article that analyzed antitumor activity was by Chen *et al*., which showed the lowest cell viability among the nine cited articles, ~20%. Murine mammary carcinoma cells (4T1) were used, and treatment with mesoporous NPs of silica incorporated in bismuth loaded with CQ was combined with photothermal treatment. The treatment led to reduced cell viability with increasing concentrations of NPs and prolonged irradiation time. In vitro pharmacokinetic tests demonstrated a controlled release by NIR light irradiation [29].

3.10 Cytotoxicity in Malaria Cells

Addressing the other fourteen articles that described the improvement of antimalarial activity, Table 3 shows different methods used for the evaluation, including flow cytometry, microscopic blood smear counting, FACS analysis, Peter's 4-day suppression test, MTT assay, hypoxanthine incorporation method, susceptibility assay, and WST-1 toxicity assay.

Movellan *et al*. tested CQ-loaded dendritic derivatives on human endothelial cells (HUVEC)

using a WST-1 toxicity assay. The study demonstrated a cell viability range between 100% and 20% depending on the type and concentration of the polymer. The immunotoxicity of the copolymers and dendron DB1 was tested through hemolytic toxicity, showing IC50 values of 7, 204, and 466 mg/ml, respectively. Copolymer C demonstrated significant antimalarial activity in vitro at concentrations without cytotoxicity in HUVEC cells [27].

Kashyap *et al*. tested CQ-loaded polymeric NPs on sensitive and resistant strains of *P. falciparum* (3D7 and RKL9, respectively) using flow cytometry. The study obtained IC50 values of 0.031 μg/ml against 3D7 and 0.13 μg/ml against RKL9. The biosafety of CHQ-DEX-NPs exhibited low hemolysis at different dilutions [30].

Medhi *et al*. tested CQ diphosphate-loaded mesoporous NPs on red cells infected with *P. falciparum* 3D7. The susceptibility assay showed an IC50 value for ihmPCL-CQDP of 25.14 nM. No data were presented regarding hemolytic toxicity of normal cells [38].

Muga *et al*. tested the antimalarial cytotoxicity of heparin-functionalized solid lipid NPs (Hep-SLNs) loaded with CQ on sensitive and resistant strains of *P. falciparum* (D6 and W2). The study obtained IC50 values of 4.752 ± 0.144 mg/mL and 2.41 \pm 0.27 mg/mL for CQ-SLN and CQ-Hep-SLN, respectively. The study demonstrated increased antiplasmodic activity of all SLNs compared to the standard CQ drug against the sensitive D6 strain but no activity against the CQresistant W2 strain [19].

Fotoran *et al*. analyzed the performance of multilamellar liposomes containing CQ in cells parasitized by the *P. berghei* strain NK65-GFP using flow cytometry. The study showed IC50 values of NPs with trapped QC approximately four times lower than those of free CQ administration [41].

Tripathy *et al*. analyzed the antimalarial cytotoxicity of polymeric NPs containing CQ in cells of Swiss mice infected with *P. berghei* using the MTT assay. The study demonstrated a decrease in parasitemia and reduction of apoptotic splenocytes [42].

Tripathy *et al*. analyzed the cytotoxicity of CQloaded polymeric NPs in cells from Swiss mice infected with *P. berghei* NK65 using flow cytometry. The study showed a significant reduction in parasitemia in the group treated with NPs compared to the other groups [43].

Baruah *et al*. tested CQ phosphate-loaded nanostructured lipid carriers in C7BL mice inoculated with sensitive (3D7) or resistant (RKL9) strains of *P. falciparum* using Peter's 4 day suppression test. The study demonstrated IC50 values of 12.8 ± 0.8 and 151.86 ± 10.88 mg/ml, respectively, against sensitive and resistant strains [44].

Coma-Cros *et al*. analyzed the antimalarial activity of CQ-loaded dendrimers in *P. falciparum* 3D7-infected and *P. yoelii*-reinfected mice using flow cytometry. The study showed that the group treated with the ISA23-CQ formulation had a survival rate of 60% [46].

Tripathy *et al*. analyzed the performance of dendrimers containing CQ in male Swiss mice infected with *P. berghei* NK65 using flow cytometry. The study demonstrated a decrease in parasitemia and a reduction of cell death markers [47].

Urbán *et al*. tested immunoliposomes containing CQ or phosmidomycin on RBC infected with *P. falciparum* strain 3D7 through FACS analysis. The study showed a reduction in parasitosis with increasing concentrations of immunoliposomes containing CQ [48].

Kudirat *et al*. tested CQ phosphate release from metallic NPs in albino rats infected with *Plasmodium berghei* NK65 using microscopic examination of Wright-stained thin blood smears. The study demonstrated a sustained release and considerable reduction in parasitemia [34].

Finally, Moles *et al*. tested liposomes containing CQ or primaquine in female immunodeficient mice grafted with human erythrocytes and infected with *P. falciparum* 3D7. The study showed IC50 values for CQ at about 35nM, with complete inhibition of parasite growth at a concentration of 50nM. The study also showed no compromise in erythrocyte viability after the treatment. In vivo pharmacokinetic tests demonstrated a sustained release profile over 48 hours [39].

3.11 Other Activities

The eight remaining articles tested various properties of nanoparticles (NPs) containing chloroquine (CQ) and/or hydroxychloroquine (HCQ):

Bhalekar *et al*. studied gel permeation of CQloaded solid lipid NPs on rat skin using an ex vivo test. The study demonstrated that the rate and extent of compound absorption were thermodependent, with passive drug diffusion. The gel nanoformulation showed greater retention on the skin compared to free CQ gel. In vivo tests on male Wistar rats showed that the nanogel formulation led to a greater reduction in paw volume than the standard treatment [28].

Liu *et al*. analyzed the relationship between inhibition and reduction in the rate of apoptosis caused by CQ-HCQ liposomes in lung fibroblasts isolated from bleomycin-treated rats. Alveolar macrophages were also isolated for treatment with CQ-HCQ, leading to a drastic reduction in inflammation induced by neutrophils in lung tissues. This confirmed the anti-fibrotic effect of the liposomes by inhibiting the growth factor CTGF. In vivo pharmacokinetic studies and safety assessment of liposomes and free HCQ sulfate in mice showed that the encapsulated form in CQ-HCQ liposomes provided a higher drug concentration in the blood for 24 hours due to sustained release, with reduced toxicity compared to free HCQ [32].

Usman *et al*. analyzed the release profile of CQ phosphate from iron polymeric NPs in vitro, showing a biphasic profile dependent on encapsulated CQ concentration, with a slow initial release and an accelerated secondary phase. The NPs also demonstrated bacterial inhibition capacity, inhibiting 26.31% to 47.36% of Staphylococcus culture. The study compared the hemolytic toxicity of the formulation with that of the free drug, showing that encapsulated CQ exhibited greater cytotoxicity over time [33].

Bhalekar *et al*. performed ex vivo assays of endocytic uptake of solid lipid NPs using an everted rat gut model. In vivo tests in male Wistar rats analyzed the pharmacokinetics and pharmacodynamics of the nanoformulation, observing paw volume and conducting histopathological assays. ELISA was used to evaluate the concentration of TNF-α at the site of inflammation. The results showed that encapsulation in a lipid matrix prevented hepatic degradation of the drug, leading to increased circulating Cmax. Bone erosion was reduced by 50% compared to the positive control group and 25% compared to the group treated with free CQ. ELISA demonstrated a considerable reduction in TNF-α secretion, indicating an interruption of disease progression [35].

Agrawal *et al*. tested the release of CQ by uncoated and galactose-coated poly-L-lysine dendrimers in vitro. The study showed a slower and more sustained release profile and low hemolytic toxicity, particularly in the coated NPs. In vivo tests were performed to determine the blood level of the drug and to count red blood cells, leukocytes, and lymphocytes. The results demonstrated that the initial concentration of the encapsulated drug was lower than that of the free drug but remained in circulation for a longer time. Ex vivo studies of NP uptake by macrophages showed that coating with galactose reduced the uptake rate [37].

Lima *et al*. analyzed the activity of polymeric NPs containing CQ diphosphate in Vero cells in vitro. Cell viability assays were conducted at different concentrations of CQ, CQ-NP, and white NPs (B-NP) incubated between 24 and 48 hours. The study observed that cytotoxicity was dependent on the drug concentration, with the IC50 value three times lower for the nanoformulation than for the free drug. This characteristic was related to the greater uptake of the nanoencapsulated drug. Antiviral activity against HSV-1 was evaluated using the standard plaque reduction assay in Vero E6 cells infected with HSV-1, showing total inhibition of viral replication with 30 µg/mL of free CQ and only 10 µg/mL of the nanoformulation [24].

Crommelin *et al*. tested the activity of CQcontaining liposomes in vivo by subcutaneous and intramuscular injections in Swiss mice. Subcutaneous administration of doses of the fluid or gel nanoformulation provided ten days of protection against potentially lethal *Plasmodium berghei* infection, whereas the administration of the maximum dose (0.8 mg/mouse) of free CQ did not confer resistance to the group. The encapsulated form of the drug did not induce toxic release peaks or hepatic/splenic accumulation [40].

Bhadra *et al*. tested the hemolytic toxicity of CQloaded peptide dendrimers in vitro on cell lines PL15K4G, PL15K5G, PL4K4G, and PL4K5G. The study showed low hemolytic toxicity, mainly when coated with sulfate of chondroitin A (CSA). Studies were also carried out on the interaction of dendrimers with macrophages and on the cytoadherence of CSA-coated NPs. The blood level and biodistribution of CQ were analyzed based on the formulation and route of administration, showing that coating with CSA reduced the rate of phagocytosis. No hemolytic

toxicity was observed in normal cells, and encapsulation and coating gave the drug a sustained release profile. increased bioavailability, and reduced side effects due to greater specificity in the drug's performance [45].

4. CONCLUSION

It can be asserted that the nanoencapsulation of the drugs CQ and/or HCQ led to an increase in specificity, as the side effects caused by the toxic accumulation of the drug were mitigated. It also extended the drug release time, enabling a longer treatment duration with the same drug concentration without inducing toxic peaks. An increase in effectiveness was also demonstrated in malarial cells resistant to CQ, given the greater cytotoxicity in parasitized cells. There was also the sensitization of cancer cells, a characteristic with high clinical potential for chemotherapies, sonodynamic therapy, and photothermal therapy. The nanoencapsulation reduced drug loss by hepatic clearance and also decreased drug uptake by phagocytes, especially when NPs were coated with biocompatible compounds such as PEG.

Therefore, the nanometric formulation proved to be extremely advantageous for the drugs addressed and provided a range of possible clinical applications. Many other effects were tested, and the application opportunities proved to be innovative and advantageous, which can be explored in the near future.

CONSENT

It is not applicable.

ETHICAL APPROVAL

It is not applicable.

COMPETING INTERESTS

Authors have declared that no competing interests exist.

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